



Title: NEON User Guide to Rodent Pathogen Status, Tick-borne (DP1.10064.002)	Date: 03/17/2026
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NEON USER GUIDE TO RODENT PATHOGEN STATUS, TICK-BORNE (DP1.10064.002)

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CHANGE RECORD

REVISION	DATE	DESCRIPTION OF CHANGE
A	03/10/2021	Initial Release
B	04/12/2022	Updated section 4.3 Data Revision with latest information regarding data release.
C	02/18/2025	Added information about colocation with Tick Plots in section 3.1. Updated the url for spatial data in section 3.5. Added information about the new neonUtilities Python package.
D	03/12/2026	Added information about DNA/RNA shield addition for very small blood sample volumes. Included information about storage of samples at the biorepository, and automated data review. Updated the section of plotID movement to include location of detailed information about plots that have moved.



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1 DESCRIPTION

1.1 Purpose

This document provides an overview of the data included in this NEON Level 1 data product, the quality controlled product generated from raw Level 0 data, and associated metadata. In the NEON data products framework, the raw data collected in the field, for example, the lifestage of an individual at the time the occurrence was recorded, are considered the lowest level (Level 0). Raw data that have been quality checked via the steps detailed herein, as well as simple metrics that emerge from the raw data are considered Level 1 data products.

The text herein provides a discussion of measurement theory and implementation, data product provenance, quality assurance and control methods used, and approximations and/or assumptions made during L1 data creation.

1.2 Scope

This document describes the steps needed to generate the L1 data product, Rodent Pathogen Status, Tick-borne - the presence/absence of a pathogen (or antibodies to a pathogen) in a single rodent sample - and associated metadata from input data. This document also provides details relevant to the publication of the data products via the NEON data portal, with additional detail available in the file, NEON Data Variables for Rodent-borne pathogen status, tick-borne (DP1.10064.002) (AD[04]), provided in the download package for this data product.

This document describes the process for ingesting and performing automated quality assurance and control procedures on the data collected in the field pertaining to TOS Protocol and Procedure: Small Mammal Sampling (AD[06]). The raw data that are processed in this document are detailed in the file, NEON Raw Data Validation for Rodent-borne pathogen status, tick-borne (DPO.10064.002) (AD[03]), provided in the download package for this data product. Please note that raw data products (denoted by 'DPO') may not always have the same numbers (e.g., '10033') as the corresponding L1 data product.



2 RELATED DOCUMENTS

2.1 Associated Documents

AD[01]	NEON.DOC.000001	NEON Observatory Design (NOD) Requirements
AD[02]	NEON.DOC.002652	NEON Data Products Catalog
AD[03]	Available with data download	NEON Raw Data Validation for Rodent-borne pathogen status, tick-borne (DP0.10064.002)
AD[04]	Available with data download	NEON Data Variables for Rodent-borne pathogen status, tick-borne (DP1.10064.002)
AD[05]	NEON.DOC.000911	TOS Science Design for Vectors and Pathogens
AD[06]	NEON.DOC.000481	TOS Protocol and Procedure: Small Mammal Sampling
AD[07]	NEON.DOC.000913	TOS Science Design for Spatial Sampling
AD[08]	NEON.DOC.000008	NEON Acronym List
AD[09]	NEON.DOC.000243	NEON Glossary of Terms
AD[10]	NEON.DOC.004825	NEON Algorithm Theoretical Basis Document: OS Generic Transitions
AD[11]	Available on NEON data portal	NEON Ingest Conversion Language Function Library
AD[12]	Available on NEON data portal	NEON Ingest Conversion Language
AD[13]	Available with data download	Categorical Codes csv
AD[14]	NEON.DOC.005424	NEON Algorithm Theoretical Basis Document: OS Data Quality Control



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3 DATA PRODUCT DESCRIPTION

The rodent pathogen status, tick-borne data product (DP1.10064.002) includes the results of the testing of blood and ear samples from individual small mammals for tick-borne pathogens. Small mammal blood samples were tested for hantaviruses from 2014-2019 and those data can be found in the rodent pathogen status, hantavirus data product (DP1.10064.001). This data product begins in 2020 with a focus on tick-borne diseases found in rodent tissues (blood and ear). The blood and ear samples are collected as part of the mark-recapture, box trapping effort for small mammals (i.e., rodents (Rodentia) < 600 grams), with the field capture results available separately via the Small mammal box trapping data product (DP1.10072). Small mammals are sampled at regular intervals by NEON field technicians. Blood and ear samples are collected from individuals of target species of rodents, including all species in the families Cricetidae, Muridae, and Dipodidae. Blood samples are collected up to once per bout, only when an individual weighs more than 10 grams and is in good physical condition. Ear samples that are approximately 2mm in diameter are collected once over the lifetime of an individual. Samples are collected only from individuals captured on three of the mark-recapture mammal grids at each NEON site, designated as pathogen grids and trapped for three consecutive (or nearly) nights per sampling bout. When capture rates are high (>20%), collection of ear and blood samples is limited to up to 10 ear or 20 blood samples per plot per trapping date.

Sampling frequency therefore depends on the frequency of captures and successful blood collection from target species. Blood samples are collected via the submandibular (Golde et al. 2005) method. Ear samples are collected with a 2 mm biopsy punch or iris scissors. All samples are placed immediately after collection on dry ice in a cooler and then stored in a -80 °C freezer at the completion of the field day. One to two shipments of samples are made to the external laboratory for pathogen analysis throughout the field season. A minimum of 20 microliters of blood is required for testing; samples received by the external laboratory that are less than this volume are indicated as Quantity Not Sufficient (QNS) in the data. Beginning in 2025, if a QNS sample is less than 10 microliters it also has DNA/RNA Shield added to the vial to ensure a workable sample volume; however the QNS samples are still not sent for pathogen testing. In most years, only a subset of samples collected will be tested, up to approximately 140 samples per NEON site. Note that limited quantities of blood samples and competing uses (DNA barcoding) of ear samples mean that archived specimens of these tissue types can be limited. For additional details, see AD[05] and AD[06].

Testing is primarily targeted at adults with samples collected after May 1 (e.g., after nymphal abundances have peaked for most sites and vector species). Priority is given to testing ear and blood samples from the same individual collected in the same bout when possible. The testing protocol used for a particular sample is provided in the testProtocolVersion field of the rpt2_pathogentesting table, and protocols are available in the document library. Samples collected in 2020 were part of a pilot test from 5 sites (HARV, SCBI, TREE, KONZ and ORNL) where blood and ear samples were analyzed for the full suite of pathogens. Beginning in 2021, ear samples are tested for *Borrelia* spp., *Borrelia burgdorferi sensu lato*, *Borrelia mayonii*, *Babesia microti*, *Anaplasma phagocytophilum*, Ehrlichia muris-like agent, while blood samples are tested for all previously listed pathogens in addition to: *Rickettsia rickettsia*, *Rickettsia parkeri*, and *Rickettsia philipii*. This is due to differences in pathogen detectability across tissue types found during the pilot study. In the future, ear samples will only be tested from the subset of domains where *Borrelia burgdorferi* has been found or is most likely to occur, including domains 1-3 and 5-9. Blood and ear samples from



the same individual and bout will be prioritized to aid in detection of co-infections of the same individual with multiple pathogens.

Mammal genomic extracts from blood and ear pathogen testing are stored at the NEON Biorepository and are available by request for further study and analysis. Contact the [Biorepository](#) for detailed information about sample availability.

3.1 Spatial Sampling Design

Rodent-borne pathogen status data rely on the small mammal box trapping that is executed at all NEON terrestrial sites, except for Hawaii and Puerto Rico. Box traps are arrayed in three to six (depending on the size of the site) 10 x 10 grids, and are collocated with Distributed Base Plots (at which plant, ground beetle and soil sampling may occur), where possible. Each grid consists of 100 traps, with 10m spacing between traps (Figure 1). Rodent-borne pathogen status data are typically collected only on three of the mammal trapping grids at each site, with these grids designated as ‘pathogen grids’. At a handful of sites where capture rates are extremely low (<5%), blood and ear samples may be collected from all grids to achieve a higher sample size for pathogen testing. Pathogen grids are trapped for three consecutive (or nearly) nights per sampling event to facilitate the generation of robust density estimates, whereas the remaining grids, ‘diversity grids’ are only sampled for one night per sampling event. Pathogen grids are selected by the field crews to achieve the goals of 1) long-term sampling of target and opportunistic species, which requires moderate to high average capture rates, and 2) representation of the site’s dominant vegetation type (National Land Cover Database (NLCD) class). If there are more or fewer than 3 trapping grids that occur within the dominant vegetation type(s) and trapping data from previous years are available, field crews target grids with the highest combined abundances of target and opportunistic species. If the majority of captures in the dominant vegetation type at a site are heteromyids (not targeted for pathogen analysis), then an alternate habitat type may be targeted. Otherwise, pathogen grids are chosen at random or based on an educated assessment of habitat quality. Once a grid has been designated as a pathogen grid (after an initial assessment period of two years), that classification applies for all subsequent trapping seasons for consistent, long-term data collection, except at TOOL, BARR, and HEAL, where grid designation (pathogen or diversity) alternates yearly to prevent permafrost damage.

To facilitate analyses connecting the tick and small mammal as well as associated pathogen data products, when possible, mammal grids are located within 500m of a co-located tick sampling plot. Co-located plots have the same plotID and occur in the same NLCD cover. For example: ABBY_002.mammalGrid.mam and ABBY_002.tickPlot.tck.

Sampling typically occurs in the same locations over the lifetime of the Observatory. However, sampling locations may become impractical to sample due to disturbance or other local changes. When this occurs, the location and its location ID are retired or shifted to slightly different coordinates. Refer to the TOS plot location changes spreadsheet found in the “Terrestrial Observation System Sampling Locations” download on the spatial-data-maps page at [neonscience.org \(https://www.neonscience.org/data-samples/data/spatial-data-maps\)](https://www.neonscience.org/data-samples/data/spatial-data-maps) for details about locations that have been retired or added since the operations phase started in 2019. The same download also includes the ‘versionedPoints’ and ‘versionedSubplots’ files, which document shifts in coordinates.

See TOS Science Design for Vectors and Pathogens (AD[05]), TOS Protocol and Procedure: Small Mammal



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Sampling (AD[06]), and TOS Science Design for Spatial Sampling (AD[07]) for further details.

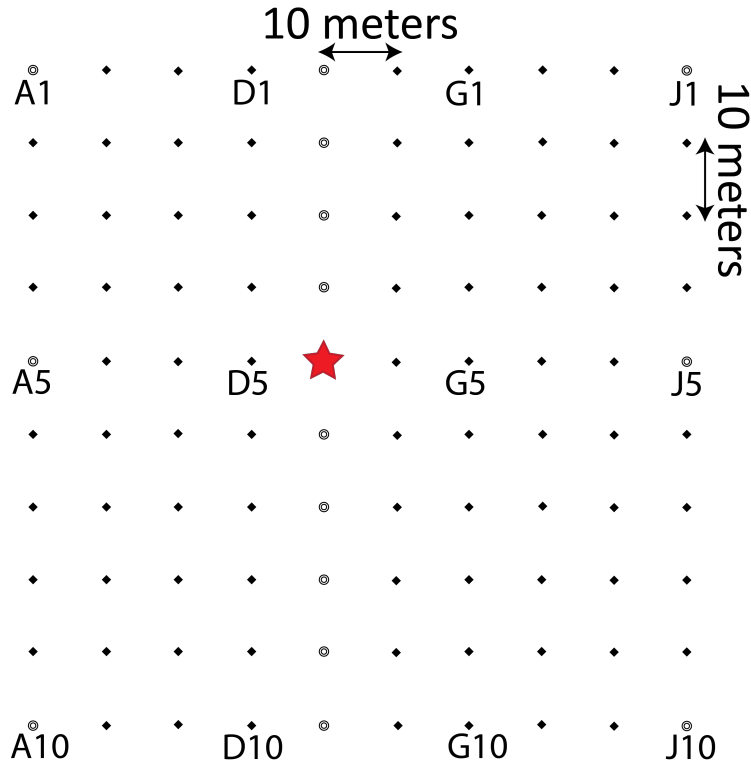


Figure 1: Design of the small mammal trapping grid, consisting of 100 box traps separated by 10 m. Trap coordinates are designated by a unique letter and number combination (e.g., A1, G10). The plot-level coordinates provided in the data product are from trap E5, designated by the red star.

3.2 Temporal Sampling Design

Small mammal sampling occurs in bouts, with a bout comprised of three consecutive (or nearly) nights of trapping on pathogen grids and one night of trapping on the diversity grids. Designation of grids as pathogen or diversity remains consistent through time except at TOOL, BARR, and HEAL, where grid designation (pathogen or diversity) alternates yearly to prevent permafrost damage. Sampling for this product is based on the lunar calendar, with timing of sampling constrained to occur within 10 days before or after the new moon. The number of bouts per year is determined by capture success. The majority of sites are sampled during 4 bouts each year; however a few sites are sampled at a low intensity frequency of one bout per year. Low intensity sites are those sites that meet the following three criteria for two or more years in a row: i) < 5% capture success per year, ii) > 80% of captures from 3 or fewer species, and iii) < 5% samples test positive for any pathogen each year. Sampling bouts occur during the snow-free season and are typically centered around periods of warm temperatures and peak greenness. At sites in the lower latitudes, trapping can occur any time of year. Any expected deviations from this schedule are indicated in the site-specific protocol appendices. See TOS Science Design for Vectors and Pathogens (AD[05]) and the TOS Protocol and Procedure: Small Mammal Sampling (AD[06]).



3.3 Sampling Design Changes

There have been some design changes that have been implemented to the small mammal data products over the course of data collection. Such changes arise due to continual evaluation of the sampling design for best practices in collaboration with technical working groups. They also occur when optimization of the design is necessary to ensure that allocation of sampling effort is poised to maximize returns to the scientific community. A more complete list of design changes for small mammal data collection, including those less likely to influence interpretation of rodent-borne pathogen data, is reported in the NEON User Guide to Small Mammal Box Trapping.

Beginning in the 2020 field season, small mammal blood samples are no longer tested for hantaviruses. In 2020 a pilot study was conducted such that both ear and blood samples from a single individual were tested for a range of tick-borne diseases. Samples collected from 5 sites (HARV, SCBI, TREE, KONZ and ORNL) were included in the pilot study and results informed the optimal tissue type for each pathogen test, and optimal sample sizes for testing. Beginning in the 2021 field season, the rodent-borne pathogen testing of blood samples for tick-borne diseases occurs at all NEON sites. Ear samples are tested from the subset of domains where *Borrelia burgdorferi* is most likely to occur, including domains 1-3 and 5-9. This change will improve linkages between the tick and small mammal datasets. Blood samples collected in excess of our capacity to test will continue to be archived at the Biorepository and can be requested by external users for use in hantavirus studies.

In 2023, analyses of the published NEON small mammal dataset were completed and it was determined that reductions in the sampling intensity could be realized with minimal impacts to data quality. Prior to 2023, sampling occurred 6 times per year at core sites and 4 times per year at gradient sites (except in locations where the sampling season is shorter due to weather or snow). After 2023, the number of bouts was capped at 4 bouts per year at all sites. Similarly, the number of grids sampled per site was capped at 6, which resulted in a reduction to the total number of grids sampled at 9 sites (CLBJ, CPER, ORNL, SERC, SJER, TALL, TOOL, BONA and UNDE). At these sites, 1-2 diversity grids were dropped from sampling according to a randomly generated plot priority matrix so as not to bias estimates generated for the site. Additionally, a low intensity sampling option was introduced for sites with consistently low capture rates, diversity, and pathogen presence such that only one bout per year would be completed at those sites.

3.4 Variables Reported

All variables reported from the field or laboratory technician (L0 data) are listed in the file, NEON Raw Data Validation for Rodent-borne pathogen status, tick-borne (DP0.10064.002) (AD[03]). All variables reported in the published data (L1 data) are also provided separately in the file, NEON Data Variables for Rodent-borne pathogen status, tick-borne (DP1.10064.002) (AD[04]).

Field names have been standardized with Darwin Core terms (<http://rs.tdwg.org/dwc/>; accessed 16 February 2014), the Global Biodiversity Information Facility vocabularies (<http://rs.gbif.org/vocabulary/gbif/>; accessed 16 February 2014), the VegCore data dictionary (<https://projects.nceas.ucsb.edu/ncceas/projects/bien/wiki/VegCore>; accessed 16 February 2014), where applicable. NEON TOS spatial data employs the World Geodetic System 1984 (WGS84) for its fundamental reference datum and Geoid12A geoid model for its vertical reference surface. Latitudes and longitudes are denoted in decimal notation to six decimal places, with longitudes indicated as negative west of the Greenwich meridian.



Some variables described in this document may be for NEON internal use only and will not appear in downloaded data.

3.5 Spatial Resolution and Extent

The finest spatial resolution at which small mammal data will be tracked is trap location (i.e., trapCoordinate). One trapping grid (plotID) consists of 100 trap locations (see Figure 1 above); the geographic coordinates for these locations are produced using a Geographic Information System prior to the initiation of sampling.

trapCoordinate (point location of a trap within a plot) → plotID (ID of plot within site) → siteID (ID of NEON site) → domainID (ID of a NEON domain).

The basic spatial data included in the data downloaded include the latitude, longitude, and elevation of the approximate centroid of the plot where sampling occurred (i.e., trap E5) + associated uncertainty due to GPS error and plot width. Shapefiles of all NEON Terrestrial Observation System sampling locations can be found on the NEON science webpage at <https://www.neonscience.org/data-samples/data/spatial-data-maps>.

To derive a more precise estimate of the location of each trap, there are two options:

- Use the getLocTOS function from the geoNEON package, available here: <https://github.com/NEONscience/NEON-geolocation>
- Or follow these steps to perform the same calculation:
 1. The namedLocation field in the data is the named location of the plot; more precise geographic data require the named location of the traps. Construct the named location of the trap of each record in mam_pertrapnight by concatenating the fields for namedLocation and trapCoordinate as: namedLocation + '!' + trapCoordinate, e.g. trapCoordinate 'A1' of namedLocation 'HARV_001.mammalGrid.mam' has a complete named location of 'HARV_001.mammalGrid.mam.A1'.
 2. Use the API (<http://data.neonscience.org/data-api>; e.g. <http://data.neonscience.org/data-api>; e.g. https://data.neonscience.org/api/v0/locations/DEJU_017.mammalGrid.mam.E6?history=true) to query for elevation("locationElevation"), easting("locationUtmEasting"), northing("locationUtmNorthing"), coordinateUncertainty ("Value for Coordinate uncertainty"), elevationUncertainty ("Value for Elevation uncertainty"), and utmZone ("locationUtmZone"). If the location data include more than one record, use the coordinates corresponding to the sampling date.
 3. Increase coordinateUncertainty by an appropriate amount to account for error introduced by navigating within traps. NEON field technicians use a combination of high-resolution GPS units, recreational GPS units, and measuring tape to demarcate the sampling grids, with an uncertainty associated with each trap location of approximately 2 meters. Technicians then place traps within a 1-m radius of these locations during each sampling bout.



3.6 Temporal Resolution and Extent

The finest temporal resolution at which small mammal data will be tracked is the collectDate - which is the date when traps are collected (traps are always set the evening prior).

The NEON Data Portal provides data in monthly files for query and download efficiency. Queries including any part of a month will return data from the entire month.

3.7 Associated Data Streams

SampleID is a linking variable that ties specific ear or blood samples and associated metadata to the Small mammal box trapping data product via the earSampleID or bloodSampleID fields therein (DP1.10072.001).

3.8 Product Instances

Only ear and blood samples tested for pathogens are included in this data product. Typically, a maximum of 140 is expected per site per year, although in some years all samples have been tested.

3.9 Data Relationships

There should be one record per unique pathogen test conducted on each sampleID in the rpt2_pathogentesting table. These records can be joined on bloodSampleID or earSampleID with the corresponding record in the mam_pertrapnight table of the Small mammal box trapping data product (DP1.10072). Duplicates and/or missing data may exist where protocol and/or data entry aberrations have occurred; users should check data carefully for anomalies before joining tables.

rpt2_pathogentesting.csv -> One record expected per pathogen test performed on each bloodSampleID or earSampleID. The basic package includes the date and plotID on which the sample was collected along with the pathogen name and test result. The bloodSampleIDs and earSampleIDs are also used in Small mammal box trapping data product (DP1.10072).

mam_pertrapnight.csv -> From the Small mammal box trapping data product (DP1.10072). One (or more in the case of multiple captures at a single trap) records expected per trapCoordinate per plotID per collectDate (or per nightUID). Generates zero or one (depending on whether pathogens are being sampled) records in rpt2_pathogentesting.csv

bloodSampleIDs will be generated according to resource availability and sampling schedules. Up to 20 blood samples are collected per plotID per collectDate from cricetids, murids, and dipodids. From 2017 onward, only a subset of collected blood samples are analyzed for pathogens (up to 140 per site per year). The DNA extracts will be available upon request.

earSampleIDs will be generated according to resource availability and sampling schedules. Up to 10 ear samples are collected per plotID per collectDate from cricetids, murids, and dipodids. The DNA extracts will be available upon request.

Data downloaded from the NEON Data Portal are provided in separate data files for each site and month requested. The neonUtilities package in R and the neonutilities package in Python contain functions to



merge these files across sites and months into a single file for each table. The neonUtilities R package is available from the Comprehensive R Archive Network (CRAN; <https://cran.r-project.org/web/packages/neonUtilities/index.html>) and can be installed using the `install.packages()` function in R. The neonutilities package in Python is available on the Python Package Index (PyPi; <https://pypi.org/project/neonutilities/>) and can be installed using pip. For instructions on using the package in either language to merge NEON data files, see the Download and Explore NEON Data tutorial on the NEON website: <https://www.neonscience.org/download-explore-neon-data>.

4 DATA QUALITY

4.1 Data Entry Constraint and Validation

Constraints and data validation are implemented during the process of ingest into the NEON database. The product-specific data constraint and validation requirements built into data entry applications and database ingest are described in the document NEON Raw Data Validation for Rodent-borne pathogen status, tick-borne (DP0.10064.002), provided with every download of this data product. Data entry constraints are described in NiCl syntax in the validation file provided with every data download, and the NiCl language is described in NEON's Ingest Conversion Language (NICL) specifications ([AD[11]).

Published data are reviewed for completeness, timeliness, and validity using an internal set of tests and metrics, as detailed in the NEON Algorithm Theoretical Basis Document: OS Data Quality Control (AD[14]). These quality tests are used to guide process improvements, audits of analytical facilities, and data updates, but do not generate quality flags in published data.

4.2 Automated Data Processing Steps

Following data entry into a mobile application or web user interface, the steps used to process the data through to publication on the NEON Data Portal are detailed in the NEON Algorithm Theoretical Basis Document: OS Generic Transitions (AD[10]).

Published data are reviewed for completeness, timeliness, and validity using an internal set of tests and metrics, as detailed in the NEON Algorithm Theoretical Basis Document: OS Data Quality Control (AD[14]). These quality tests are used to guide process improvements, audits of analytical facilities, and data updates, but do not generate quality flags in published data.

4.3 Data Revision

All data are provisional until a numbered version is released. Annually, NEON releases a static version of all or almost all data products, annotated with digital object identifiers (DOIs). The first data Release was made in 2021. During the provisional period, QA/QC is an active process, as opposed to a discrete activity performed once, and records are updated on a rolling basis as a result of scheduled tests or feedback from data users. The Issue Log section of the data product landing page contains a history of major known errors and revisions.



4.4 Quality Flagging

The dataQF field in each data record is a quality flag for known errors applying to the record. There are no dataQF values in use in this data product.

Records of land management activities, disturbances, and other incidents of ecological note that may have a potential impact are found in the Site Management and Event Reporting data product (DP1.10111.001)

4.5 Analytical Facility Data Quality

Samples are tested against negative control antigen at the same time they are tested for the presence of antigen specific antibodies in the serum. As internal positive controls, serum known to contain antibodies against the selected antigens is used. As the negative controls, serum known to contain no antibodies against the selected antigens is used. Positive and negative control sera are used at minimum sensitivity to ensure the reliability of the assay. More details can be found in the SOP.

5 REFERENCES

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