

Title: NEON Algorithm Theoretical Basis Document (ATBD) – Above Canopy and Understory/snowpack Phenology Camera		Date: 04/26/2016
NEON Doc. #: NEON.DOC.001789	Author: M. SanClements	Revision:

# ALGORITHM THEORETICAL BASIS DOCUMENT (ATBD) ABOVE CANOPY AND UNDERSTORY/SNOWPACK PHENOLOGY CAMERA

PREPARED BY	ORGANIZATION	DATE
Michael SanClements	FIU	03/24/2014
Josh Roberti	FIU	02/11/2016

APPROVALS	ORGANIZATION	APPROVAL DATE
Andrea Thorpe	SCI	04/25/2016
Vlad Aleksiev	PSE	04/11/2016

RELEASED BY	ORGANIZATION	RELEASE DATE
Judy Salazar	CM	04/26/2016

See configuration management system for approval history.

© 2016 NEON Inc. All rights reserved.

The National Ecological Observatory Network is a project solely funded by the National Science Foundation and managed under cooperative agreement by NEON, Inc. Any opinions, findings, and conclusions or recommendations expressed in this material are those of the author(s) and do not necessarily reflect the views of the National Science Foundation.

<i>Title:</i> NEON Algorithm Theoretical Basis Document (ATBD) – Above Canopy and Understory/snowpack Phenology Camera		<i>Date:</i> 04/26/2016
<i>NEON Doc. #:</i> NEON.DOC.001789	<i>Author:</i> M. SanClements	<i>Revision:</i>

## Change Record

<b>REVISION</b>	<b>DATE</b>	<b>ECO #</b>	<b>DESCRIPTION OF CHANGE</b>
A	03/30/2016	ECO-03726	Initial release of document
B	04/26/2016	ECO-03830	Update document to correct template format

Title: NEON Algorithm Theoretical Basis Document (ATBD) – Above Canopy and Understory/snowpack Phenology Camera		Date: 04/26/2016
NEON Doc. #: NEON.DOC.001789	Author: M. SanClements	Revision:

**TABLE OF CONTENTS**

**1 DESCRIPTION.....1**

1.1 Purpose ..... 2

1.2 Scope..... 2

**2 RELATED DOCUMENTS, ACRONYMS AND VARIABLE NOMENCLATURE .....3**

2.1 Applicable Documents ..... 3

2.2 Reference Documents..... 3

2.3 Acronyms ..... 3

2.4 Variable Nomenclature ..... 4

**3 DATA PRODUCT DESCRIPTION.....4**

3.1 Variables Reported ..... 4

3.2 Input Dependencies ..... 4

3.3 Product Instances..... 4

3.4 Temporal Resolution and Extent ..... 4

3.5 Spatial Resolution and Extent ..... 5

**4 SCIENTIFIC CONTEXT .....5**

4.1 Theory of Measurement ..... 5

4.2 Theory of Algorithm ..... 5

**5 ALGORITHM IMPLEMENTATION.....5**

**6 UNCERTAINTY .....6**

**7 FUTURE PLANS AND MODIFICATIONS.....6**

**8 BIBLIOGRAPHY .....6**

**LIST OF TABLES AND FIGURES**

Table 3-1: List of digital camera related L0 DPs that are transformed into L1 DPs. .... 4

**1 DESCRIPTION**

Contained in this document are details concerning automated phenology and snowpack measurements made at all NEON sites. Above canopy phenology, understory phenology and snowpack will be

<i>Title:</i> NEON Algorithm Theoretical Basis Document (ATBD) – Above Canopy and Understory/snowpack Phenology Camera		<i>Date:</i> 04/26/2016
<i>NEON Doc. #:</i> NEON.DOC.001789	<i>Author:</i> M. SanClements	<i>Revision:</i>

measured via the Stardot NetCam SC CAM-SEC5IR-B. Specifically, the processes necessary to provide imagery for use in phenological studies and their associated uncertainties are described.

**1.1 Purpose**

This document details the process for creating NEON Level 1 data product from Level 0 data, and ancillary data as defined in this document (such as calibration data), obtained via instrumental measurements made by the Stardot NetCam SC CAM-SEC5IR-B. It includes a detailed discussion of measurement theory and implementation, appropriate theoretical background, data product provenance, quality assurance and control methods used, approximations and/or assumptions made, and a detailed explanation of uncertainty resulting in a cumulative reported uncertainty for this product.

**1.2 Scope**

The theoretical background and entire algorithmic process used to derive Level 1 data from Level 0 data for the automated digital phenology cameras (i.e. above canopy phenology and understory/snowpack phenology) are described in this document. It is expected that the automated digital camera employed at all NEON tower sites is the Stardot NetCam SC CAM-SEC5IR-B (NEON P/N: 0303510000). This document does not provide computational implementation details.

## 2 RELATED DOCUMENTS, ACRONYMS AND VARIABLE NOMENCLATURE

### 2.1 Applicable Documents

AD[01]	NEON.DOC.000001	NEON OBSERVATORY DESIGN
AD[02]	NEON.DOC.005003	NEON Scientific Data Products Catalog
AD[03]	NEON.DOC.005004	NEON Level 1-3 Data Products Catalog
AD[04]	NEON.DOC.005005	NEON Level 0 Data Products Catalog
AD[05]	NEON.DOC.000782	ATBD QA/QC Data Consistency
AD[06]	NEON.DOC.011081	ATBD QA/QC plausibility tests
AD[07]	NEON.DOC.000783	ATBD De-spiking and time series analyses
AD[08]	NEON.DOC.000746	Evaluating Uncertainty (CVAL)
AD[09]	NEON.DOC.000785	TIS Level 1 Data Products Uncertainty Budget Estimation Plan
AD[10]	NEON.DOC.000751	CVAL Transfer of standard procedure
AD[11]	NEON.DOC.000927	NEON Calibration and Sensor Uncertainty Values <sup>1</sup>
AD[12]	NEON.DOC.001113	Quality Flags and Quality Metrics for TIS Data Products

### 2.2 Reference Documents

RD[01]	NEON.DOC.000008	NEON Acronym List
RD[02]	NEON.DOC.000243	NEON Glossary of Terms

### 2.3 Acronyms

Acronym	Explanation
AIS	Aquatic Instrument System
ATBD	Algorithm Theoretical Basis Document
CI	NEON Cyberinfrastructure
CVAL	NEON Calibration, Validation, and Audit Laboratory
DAS	Data Acquisition System
DP	Data Product
FDAS	Field Data Acquisition System
GRAPE	Grouped Remote Analog Peripheral Equipment

<sup>1</sup> Note that CI obtains calibration and sensor values directly from an XML file maintained and updated by CVAL in real time. This report is updated approximately quarterly such that there may be a lag time between the XML and report updates.

Title: NEON Algorithm Theoretical Basis Document (ATBD) – Above Canopy and Understory/snowpack Phenology Camera		Date: 04/26/2016
NEON Doc. #: NEON.DOC.001789	Author: M. SanClements	Revision:

Hz	Hertz
L0	Level 0
L1	Level 1
PRT	Platinum resistance thermometer
QA/QC	Quality assurance and quality control

## 2.4 Variable Nomenclature

N/A

## 3 DATA PRODUCT DESCRIPTION

### 3.1 Variables Reported

The above canopy and understory/snowpack camera related L1 DPs are provided in the file: phe\_datapub\_NEONDOC001789.txt.

### 3.2 Input Dependencies

Table 3-1 details the above canopy and understory/snowpack phenology-related L0 DPs used to produce L1.

**Table 3-1: List of digital camera related L0 DPs that are transformed into L1 DPs.**

Description	Sample Frequency	Units	Data Product Number
Image captured in the infrared spectrum	15 minutes	NA	NEON.DOM.SITE.DP0.00033.001.01796.HOR.VER.000
Infrared metadata stream	15 minutes	NA	NEON.DOM.SITE.DP0.00033.001.02051.HOR.VER.000
Image captured in the RGB color space	15 minutes	NA	NEON.DOM.SITE.DP0.00033.001.01797.HOR.VER.000
RGB metadata stream	15 minutes	NA	NEON.DOM.SITE.DP0.00033.001.02052.HOR.VER.000

### 3.3 Product Instances

A Stardot NetCam SC CAM-SEC5IR-B will be deployed on all core and re-locatable towers at the tower top (Above Canopy Phenology) and a second camera at the tower bottom (Understory/Snowpack Phenology).

### 3.4 Temporal Resolution and Extent

Title: NEON Algorithm Theoretical Basis Document (ATBD) – Above Canopy and Understory/Snowpack Phenology Camera		Date: 04/26/2016
NEON Doc. #: NEON.DOC.001789	Author: M. SanClements	Revision:

Every 15 minutes both the Above Canopy Phenology Camera and the Understory/Snowpack Phenology Camera capture back to back RGB and IR images separated by 30 seconds.

**3.5 Spatial Resolution and Extent**

A Stardot NetCam SC CAM-SEC5IR-B will be deployed on all core and re-locatable towers at the tower top (Above Canopy Phenology) and a second camera at the tower bottom (Understory/Snowpack Phenology). The Above Canopy Phenology Camera will capture images of the dominant vegetation type on site. The Understory/Snowpack Phenology Camera will capture images of the snowdepth stakes and any ancillary plant phenology information.

**4 SCIENTIFIC CONTEXT**

Phenology is the study of reoccurring life cycle events that are driven by environmental factors (Morrissette et al., 2009). In the context of this document, the targeted events are related to seasonal changes in above canopy and understory vegetation (e.g. onset of growth and leaf senescence). The timing of these events is driven by both short- and long-term variability in climate and is therefore valuable in understanding the effects of climate change (Richardson et al., 2006 and references therein).

Automated repeat digital images of plant canopies provide data for the extraction of indices (e.g. green chromatic coordinate ( $g_{cc}$ ) and excess green (ExG) that can be used to quantify changes in phenological events over time (Sonnentag et al., 2011).

**4.1 Theory of Measurement**

The Stardot NetCam SC CAM-SEC5IR-B is an automated digital camera capable of capturing RGB and IR images. The Above Canopy and Understory/Snowpack Phenology Camera both capture continuous digital images of the selected areas of interest (i.e. the canopy and snowdepth stakes, respectively).

**4.2 Theory of Algorithm**

N/A

**5 ALGORITHM IMPLEMENTATION**

Data flow for signal processing of L1 DPs will be treated in the following order.

1. L0 DPs (image and metadata will be sent to the PhenoCam Network)
2. L0 DP images will undergo QA/QC tests per PhenoCam protocols (see Richardson *et al.* (in prep.))
3. L0 images that pass PhenoCam QA/QC are then considered L1 DP images

Title: NEON Algorithm Theoretical Basis Document (ATBD) – Above Canopy and Understory/snowpack Phenology Camera		Date: 04/26/2016
NEON Doc. #: NEON.DOC.001789	Author: M. SanClements	Revision:

## 6 UNCERTAINTY

Uncertainty of measurement is inevitable; therefore, measurements should be accompanied by a statement of their uncertainty for completeness (JCGM 2008; Taylor 1997). To do so, it is imperative to identify all sources of measurement uncertainty related to the quantity being measured. Quantifying the uncertainty of TIS measurements will provide a measure of the reliability and applicability of individual measurements and TIS data products. Because the L0 and L1 DPs are images, no uncertainty estimates provided. Uncertainty estimates for higher level data products that are functions of L1 images, e.g., greenness index, snowpack, etc., will be explained and derived in future ATBDs.

## 7 FUTURE PLANS AND MODIFICATIONS

Sensor (camera) degradation and drift may be addressed in the uncertainty section.

## 8 BIBLIOGRAPHY

Joint Committee for Guides in Metrology (JCGM) (2008) Evaluation of measurement data – Guide to the expression of uncertainty in measurement. pp. 120.

Morisette, J. T., A. D. Richardson, A. K. Knapp, J. I. Fisher, E. A. Graham, J. Abatzoglou, B. E. Wilson, D. D. Breshears, G. M. Henebry, and J. M. Hanes. 2008. Tracking the rhythm of the seasons in the face of global change: phenological research in the 21st century. *Frontiers in Ecology and the Environment* 7:253–260

Richardson, A. D., Bailey, A. S., Denny, E. G., Martin, C. W. and O'keefe, J. (2006), Phenology of a northern hardwood forest canopy. *Global Change Biology*, 12: 1174–1188. doi: 10.1111/j.1365-2486.2006.01164.x

Richardson A.D., et al. (*in prep.*) Tracking Vegetation Phenology across Diverse North American Biomes Using PhenoCam Imagery.

Sonnentag, O., Hufkens, K., Teshera-Sterne, C., Young, A. M., Friedl, M., Braswell, B. H., Milliman, T., O'Keefe, J., & Richardson, A. D. (2012). Digital repeat photography for phenological research in forest ecosystems. *Agricultural and Forest Meteorology*, 152, 159-177.

Taylor, J. R. (1997) *An Introduction to Error Analysis: The Study of Uncertainties in Physical Measurements*. University Science Books, Mill Valley, California. 2<sup>nd</sup> Ed. pp. 327.