

## NEON USER GUIDE TO BATHYMETRIC AND MORPHOLOGICAL MAPS (NEON.DP4.00132.001)

<b>PREPARED BY</b>	<b>ORGANIZATION</b>	<b>DATE</b>
Brandon Jensen	AOS	12/22/2017
Caren Scott	AQU	12/22/2017
Stephanie Parker	AQU	12/22/2017

<i>Title:</i> NEON User Guide to Bathymetric and Morphological Maps (NEON.DP4.00132.001)	<i>Date:</i> 12/22/2017
<i>Author:</i> Brandon Jensen	<i>Revision:</i> A

## CHANGE RECORD

REVISION	DATE	DESCRIPTION OF CHANGE
A	12/07/2017	Initial Release

## TABLE OF CONTENTS

<b>1</b>	<b>DESCRIPTION</b>	<b>1</b>
1.1	Purpose . . . . .	1
1.2	Scope . . . . .	1
<b>2</b>	<b>RELATED DOCUMENTS AND ACRONYMS</b>	<b>2</b>
2.1	Associated Documents . . . . .	2
2.2	Acronyms . . . . .	3
<b>3</b>	<b>DATA PRODUCT DESCRIPTION</b>	<b>4</b>
3.1	Spatial Sampling Design . . . . .	4
3.2	Temporal Sampling Design . . . . .	4
3.3	Variables Reported . . . . .	5
3.4	Spatial Resolution and Extent . . . . .	5
3.5	Temporal Resolution and Extent . . . . .	5
3.6	Product Instances . . . . .	6
3.7	Data Relationships . . . . .	6
3.8	Special Considerations . . . . .	7
3.8.1	Downloading Bathymetric and Morphology Data . . . . .	7
<b>4</b>	<b>DATA QUALITY</b>	<b>7</b>
4.1	Data Entry Constraint and Validation . . . . .	7
4.2	Manual Data Processing Steps . . . . .	8
4.3	Data Revision . . . . .	8
4.4	Uncertainty . . . . .	8
4.5	Quality Flagging . . . . .	8

## LIST OF TABLES AND FIGURES

Table 1	Suite of L4 Data Products Associated with the Bathymetric (BATH) and Morphological Habitat (HAB) Map. . . . .	6
Figure 1	Schematic of survey pattern undertaken in the vessel for (a) lake grid survey, with the shoreline (in red), and island as well as (b) non-wadeable stream parallel to shoreline survey pattern. . . . .	4

## 1 DESCRIPTION

### 1.1 Purpose

This document provides an overview of the data included in this NEON Level 4 data product, the quality controlled product generated from raw Level 0 data, and associated metadata. In the NEON data products framework, the raw data collected in the field, for example, the dry weights of litter functional groups from a single collection event are considered the lowest level (Level 0). Raw data that have been quality checked via the steps detailed herein, as well as simple metrics that emerge from the raw data are considered Level 4 data products.

The text herein provides a discussion of measurement theory and implementation, data product provenance, quality assurance and control methods used, and approximations and/or assumptions made during L4 data creation.

### 1.2 Scope

This document describes the steps needed to generate the L4 data product Bathymetric and Morphological Maps - and associated metadata from input data. This document also provides details relevant to the publication of the data products via the NEON data portal, with additional detail available in the file, NEON Data Variables for Bathymetric and Morphological Maps (NEON.DP4.00132) (AD[05]), provided in the download package for this data product.

This document describes the process for ingesting and performing automated quality assurance and control procedures on the data collected in the field pertaining to AOS Protocol and Procedure: Bathymetry and Morphology of Lakes and Non-Wadeable Streams (AD[07]). The raw data that are processed in this document are detailed in the file, NEON Raw Data Validation for Bathymetric and Morphological Maps (NEON.DP0.00132) (AD[04]), provided in the download package for this data product. Please note that raw data products (denoted by 'DP0') may not always have the same numbers (e.g., '10033') as the corresponding L4 data product.

## 2 RELATED DOCUMENTS AND ACRONYMS

### 2.1 Associated Documents

AD[01]	NEON.DOC.000001	NEON Observatory Design (NOD) Requirements
AD[02]	NEON.DOC.001152	NEON Aquatic Sampling Strategy
AD[03]	NEON.DOC.002652	NEON Level 1, Level 2 and Level 3 Data Products Catalog
AD[04]	NEON.DP0.00132.001_dataValidation.csv	NEON Raw Data Validation for Bathymetric and Morphological Maps (NEON.DP0.00132)
AD[05]	NEON.DP4.00132.001_variables.csv	NEON Data Variables for Bathymetric and Morphological Maps (NEON.DP4.00132)
AD[06]	NEON.DOC.001152	NEON Aquatic Sampling Strategy
AD[07]	NEON.DOC.001197	AOS Protocol and Procedure: Bathymetry and Morphology of Lakes and Non-Wadeable Streams
AD[08]	NA	NA
AD[09]	OS_Generic_Transitions.pdf	NEON Algorithm Theoretical Basis Document: OS Generic Transitions
AD[10]	NEON.DOC.004856	NEON Standard Operating Procedure: Post-Processing of Bathymetric and Side Scan Sonar Data from NEON Lakes and Non-Wadeable Streams
AD[11]	NEON.DOC.000008	NEON Acronym List
AD[12]	NEON.DOC.000243	NEON Glossary of Terms
AD[13]	OS_Generic_Transitions.pdf	NEON Algorithm Theoretical Basis Document: OS Generic Transitions
AD[14]	Nicl Language.pdf	NEON's Ingest Conversion Language (NICL) specifications

## 2.2 Acronyms

Acronym	Definition
DGPS	Differential Global Positioning System
EBK	Empirical Bayesian Kriging
GIS	Global Information System
GPS	Global Positioning System
MODIS	Moderate Resolution Imaging Spectroradiometer
NDVI	Normalized Difference Vegetation Index
NICL	NEON's Ingest Conversion Language
m	Meter
km	Kilometer
WAAS	Wide Area Augmentation System
WebUI	Web user Interface

### 3 DATA PRODUCT DESCRIPTION

The Bathymetric and Morphological Map data product (NEON.DP4.00132) provides depths of lakes and non-wadeable streams (rivers), sediment and substrate characteristics, as well as the presence or absence, approximate abundance, distribution, and size of underwater flora. All data following processing are reported at the spatial resolution of a single latitude (x), longitude (y), elevation (depth; z), and date/time of a single collection event.

High accuracy depth (bathymetric) maps are obtained using a suite of hydroacoustic instrumentation interfaced with a differential global positioning system (DGPS) mounted on a vessel. The Wide Area Augmentation System (WAAS) is a form of DGPS that provides enhanced position accuracy (<3 m). Acoustic images of biota, substrate, and benthic structures are obtained with side scan sonar which are georeferenced and mosaicked in post-processing. The resulting master mosaic imagery coupled with ground truth observations are used to classify the substrate type and benthic habitat features. These may include sand, silt, clay, boulder, bedrock, and large woody debris. Summary data include volume and area calculations at specific bathymetric intervals or whole body of water estimates as well as areal calculations of habitat features.

#### 3.1 Spatial Sampling Design

Bathymetric and morphological surveys are executed at NEON lakes and non-wadeable streams. A vessel-mounted acoustic sonar system and DGPS is driven along the shoreline as well as across lake sites in a gridded pattern (Figure 1). Bathymetric surveys cover the entire lake with transects spaced up to 35 m apart. At non-wadeable stream sites, the vessel is driven in a series of tracks parallel to shore (Figure 1) also up to 35 m apart, and covers the entire permitted reach of approximately 1 km.

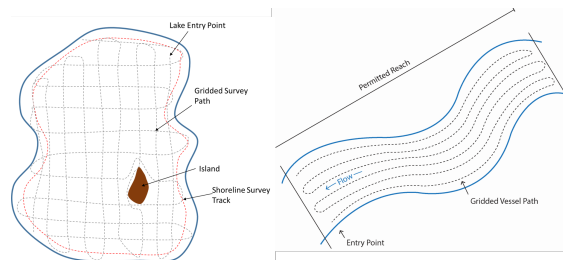


Figure 1: Schematic of survey pattern undertaken in the vessel for (a) lake grid survey, with the shoreline (in red), and island as well as (b) non-wadeable stream parallel to shoreline survey pattern.

#### 3.2 Temporal Sampling Design

Bathymetric surveys at lakes and non-wadeable streams are completed at a minimum of every 5 years during biological sampling bout 2 and during the period of peak greenness, defined as the range of dates where Moderate Resolution Imaging Spectroradiometer (MODIS) Normalized Difference Vegetation Index (NDVI) is within 90% of the local seasonal maximum (AD[02]). If an extreme event results in substantial physical change to the morphology or submerged habitat, an out-of-cycle survey may be requested. It is recommended that subsequent sam-

<i>Title:</i> NEON User Guide to Bathymetric and Morphological Maps (NEON.DP4.00132.001)	<i>Date:</i> 12/22/2017
<i>Author:</i> Brandon Jensen	<i>Revision:</i> A

pling events are scheduled to occur +/- 2 weeks of first sampling event when practicable. The survey duration will vary for each site based on water body area (size) and seasonal conditions (wind, lightning, etc.). It is advised that surveys are started as early in the day as possible to complete the survey. Surveys that take longer than one day to complete will resume the following day. Typically, non-wadeable stream sites are surveyed in one day; however, lake sites can take up to five days. If field conditions deteriorate, then survey dates may not be consecutive in order to allow for more ideal conditions.

### 3.3 Variables Reported

All variables reported from the field (L0 data) are listed in the file, NEON Raw Data Validation for Bathymetric and Morphological Maps (NEON.DP0.00132) (AD[04]). All variables reported in the published data (L4 data) are also provided separately in the file, NEON Data Variables for Bathymetric and Morphological Maps (NEON.DP4.00132) (AD[05]).

Field names have been standardized with Darwin Core terms (<http://rs.tdwg.org/dwc/>; accessed 12 December 2017), the Global Biodiversity Information Facility vocabularies (<http://rs.gbif.org/vocabulary/gbif/>; accessed 12 December 2017), the VegCore data dictionary (<https://projects.nceas.ucsb.edu/nceas/projects/bien/wiki/VegCore>; accessed 12 December 2017), where applicable. NEON AOS spatial data employs the World Geodetic System 1984 (WGS84) for its fundamental reference datum and Earth Gravitational Model 96 (EGM96) for its reference gravitational ellipsoid. Latitudes and longitudes are denoted in decimal notation to six decimal places, with longitudes indicated as negative west of the Greenwich meridian.

Some variables described in this document may be for NEON internal use only and will not appear in downloaded data.

### 3.4 Spatial Resolution and Extent

Raw side-scan and down-imaging sonar data are recorded using a dual-beam echosounder at a frequency of 200/83 kilohertz at beam angles of 6° and 19°. It is combined with WAAS GPS technology which increases the horizontal accuracy. Water depths are determined by the first echosounder return and is based on the speed of sound in water and compensated for temperature. The second echo return provides an indication of bottom hardness. More intense returns are suggestive of rocky and compacted sediment where as low intensity returns may indicate soft sediment, vegetation, or fish. The shoreline at the time of sampling is mapped with a handheld GPS receiver with decimeter accuracy (Trimble) in continuous mode.

The finest scale at which spatial bathymetric data are reported are at a single point (latitude and longitude) and associated depth for NEON lakes and 1,000 m reaches of NEON rivers. In post-processing, the collected point locations and depth contours are constructed using the Empirical Bayesian Kriging (EBK) model parameters for interpolating depth data points in a Global Information System (GIS).

### 3.5 Temporal Resolution and Extent

The finest temporal resolution at which bathymetric and morphological data are reported is the **endDate**, a single date on which survey data were collected. Bathymetric and morphological surveys are conducted at each lake and



<i>Title:</i> NEON User Guide to Bathymetric and Morphological Maps (NEON.DP4.00132.001)	<i>Date:</i> 12/22/2017
<i>Author:</i> Brandon Jensen	<i>Revision:</i> A

river site once every five years. Should an extreme event result in significant changes in water level, morphology, or habitat, an additional survey may be conducted once safe conditions allow.

The NEON Data Portal currently provides data in monthly files for query and download efficiency. Queries including any part of a month will return data from the entire month. Code to stack files across months is available here: <https://github.com/NEONScience/NEON-utilities>

### 3.6 Product Instances

A minimum of one bathymetric survey will be conducted at each NEON lake and river site every five years. Additional surveys will occur if an extreme event results in substantial physical change to the morphology or submerged habitat. Each sampling event will yield several post-processed data files for bathymetric and morphological data described in (Table 1).

### 3.7 Data Relationships

The basic spatial data included in the bathymetric and morphological data download are described in (Table 1). Shapefiles of all NEON Aquatic Observation System sampling locations can be found in the Document Library: <http://data.neonscience.org/documents>.

File Type	Description
BATH Contour.shp	Contour depth lines at a specific interval
BATH Shoreline.shp	Shoreline boundary of map
BATH EBK.xml	Empirical Bayesian Kriging model parameters for interpolation of depth data points
BATH Tracks.csv	Survey track
BATH Volume.csv	Volume calculations
BATH GE.kmz	Useable bathymetric map viewed in Google Earth
BATH Map.pdf	Useable bathymetric map
BATH Fulcrum.csv	Metadata and field data collected during the survey
BATH.tif	Raster of interpolated depths
HAB.shp	Habitat features classified as polygons
HAB Area.csv	Habitat area calculations
HAB GE.kmz	Useable habitat map viewed in Google Earth
HAB Map.pdf	Useable habitat map

Table 1: Suite of L4 Data Products Associated with the Bathymetric (BATH) and Morphological Habitat (HAB) Map.

The protocol dictates that each **locationID** is surveyed at least once every 5 years. One record is expected per **startDate** in **bat\_fieldData**. Each **waypoint** may have multiple or no child records associated with **bathymetry-Data Type** (shoreline boundary, in-water feature, groundtruth targeted, groundtruth random) within the **bat\_pointcollection** record, depending on whether a waypoint was collected during the survey. Each **record-ingNumber** record from **bat\_sonarRecord** is expected to have one to several child records for every survey track that is collected. One **dataFileName** and one **rawDataFileName** record will be created in **bat\_resultsFile** which

contain the maps and raw data plus file extensions. However, duplicates and/or missing data may exist where protocol and/or data entry aberrations have occurred; *users should check data carefully for anomalies before joining tables.*

bat\_fieldData - > One record expected per **locationID**. Field data associated with the field level record. This table is linked to the bat\_pointcollection and bat\_sonarRecord tables through the **locationID**.

bat\_pointcollection - > Up to several records expected per **waypoint**. Field data associated with the point level record.

bat\_sonarRecord - > Several records expected per **recordingNumber**. A single parent location ID (recordingNumber) generates child recording number files.

### 3.8 Special Considerations

#### 3.8.1 Downloading Bathymetric and Morphology Data

To download L4 bathymetric and morphology data packages:

1. Access the NEON data portal and select the desired data product (Bathymetry and Morphology Map), date range, and location (state and site).
2. Download the dataset and open the .CSV file **bat\_resultsFile** contained in the zipped folder. This .CSV file will contain a file named with the format "NEON.D##.SITE.DP1.00131.001.bat\_resultsFile.YYYY-MM.basic.transitionDate.csv".
3. Links to the cloud-storage location are provided in the **dataFileName** field. Not that D## is the NEON Domain number and YYYY-MM is the year and month of the date range specified.
4. For L4 data products, the links to the cloud-storage location are provided in the **dataFileName** field.
5. Copy the URL provided in the **dataFileName** field into a web browser to initiate the download of the L4 survey data packages.

## 4 DATA QUALITY

### 4.1 Data Entry Constraint and Validation

Many quality control measures are implemented at the point of data entry within a mobile field data entry (Fulcrum) application or web user interface (UI). For example, data formats are constrained and data values controlled through the provision of dropdown options, which reduces the number of processing steps necessary to prepare the raw data for publication. An additional set of constraints are implemented during the process of ingest into the NEON database. The product-specific data constraint and validation requirements built into data entry applications and database ingest are described in the document NEON Raw Data Validation for Bathymetric and Morphological Maps (NEON.DP0.00132), provided with every download of this data product. Contained within this file is a field named 'entryValidationRulesForm', which describes syntactically the validation rules for each field built into the data entry application. Data entry constraints are described in NiCl syntax in the validation

<i>Title:</i> NEON User Guide to Bathymetric and Morphological Maps (NEON.DP4.00132.001)	<i>Date:</i> 12/22/2017
<i>Author:</i> Brandon Jensen	<i>Revision:</i> A

file provided with every data download, and the NiCL language is described in NEON's Ingest Conversion Language (NiCL) specifications ([AD[14]]).

## 4.2 Manual Data Processing Steps

Manual data processing transforms survey data from raw L0 data to an L4 data product. During this time QA/QC procedures are performed which include a careful evaluation of field survey notes (contained in the metadata) that document errors that occurred during the survey. If survey notes indicate that L0 needs to be altered or deleted during post-processing these changes are addressed and subsequently expressed in the L4 product. Following data entry into a mobile application or web user interface, the steps used to process the data through to publication on the NEON Data Portal are detailed in the NEON Algorithm Theoretical Basis Document: OS Generic Transitions (AD[09]). Additional post-processing procedures are detailed in NEON Standard Operating Procedure: Post-Processing of Bathymetric and Side Scan Sonar Data from NEON Lakes and Non-Wadeable Streams (AD[10]).

## 4.3 Data Revision

All data are provisional until a numbered version is released; the first release of a static version of NEON data, annotated with a globally unique identifier, is planned to take place in 2020. During the provisional period, QA/QC is an active process, as opposed to a discrete activity performed once, and records are updated on a rolling basis as a result of scheduled tests or feedback from data users. The Change Log section of the data product readme, provided with every data download, contains a history of major known errors and revisions.

## 4.4 Uncertainty

Spatial datasets are not a seamless representation of real-world phenomena.

A HumminBird 1198c SI Combo sonar with an XHS 9 HDSI 180 T transducer with down imaging (dual beam 200/83 kHz) and side imaging sonar (455/800 kHz) are used to map NEON aquatic lakes and non-wadeable (river) reaches during bathymetric and morphology surveys.

A Trimble GeoXH 6000 or 7000 series GPS unit is used to collect GPS locations for the shoreline boundary, in-water features, and during ground truthing activities, throughout the bathymetric and morphology survey. Differential correction is applied during post-processing to improve GPS accuracy and reduce atmospheric errors by comparing the time signature at a fixed base station (typically CORS [Continuously Operating Reference Station]) nearby the rover file from the Trimble unit. The resulting file defines a horizontal and vertical accuracy using the root mean square error based on a 68% confidence level. NEON has aimed to utilize post-processed GPS positions that are within a horizontal and vertical precision of 10cm. Due to dense canopy at some sites and/or distance from base stations, not all GPS points surveyed fall within the desired range.

## 4.5 Quality Flagging

The **dataQF** field in each data record is a quality flag for known errors applying to the record. Please see below for an explanation of **dataQF** codes specific to this product.

<b>fieldName</b>	<b>value</b>	<b>definition</b>
dataQF	legacyData	Data recorded using a paper-based workflow that did not implement the full suite of quality control features associated with the interactive digital workflow