



NEON USER GUIDE TO TICKS SAMPLED USING DRAG CLOTHS (DP1.10093.001)

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CHANGE RECORD

REVISION	DATE	DESCRIPTION OF CHANGE
A	06/19/2017	Initial Release
B	05/15/2019	Revision
C	06/04/2020	Included general statement about usage of neonUtilities R package and statement about possible location changes. Updated taxonomy information.
C	11/01/2020	Added details about sampling design changes and dataQFs
D	03/16/2022	Updated section 5.3 Data Revision with latest information regarding data release
E	02/20/2023	Added information about identificationHistory table for changes to published taxonomic identification



TABLE OF CONTENTS

1	DESCRIPTION	1
1.1	Purpose	1
1.2	Scope	1
2	RELATED DOCUMENTS AND ACRONYMS	2
2.1	Associated Documents	2
3	DATA PRODUCT DESCRIPTION	3
3.1	Spatial Sampling Design	3
3.2	Temporal Sampling Design	4
3.3	Sampling Design Changes	5
3.4	Variables Reported	5
3.5	Spatial Resolution and Extent	6
3.6	Temporal Resolution and Extent	6
3.7	Associated Data Streams	6
3.8	Product Instances	6
3.9	Data Relationships	6
4	TAXONOMY	8
5	DATA QUALITY	8
5.1	Data Entry Constraint and Validation	8
5.2	Automated Data Processing Steps	9
5.3	Data Revision	9
5.4	Quality Flagging	9
6	REFERENCES	11

LIST OF TABLES AND FIGURES

Table 1	Descriptions of the dataQF codes for quality flagging	10
Figure 1	Drag and/or flag sampling occurs around the 160m perimeter of a 40mx40m plot	4



<i>Title:</i> NEON User Guide to Ticks Sampled Using Drag Cloths (DP1.10093.001)	<i>Date:</i> 02/15/2023
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1 DESCRIPTION

1.1 Purpose

This document provides an overview of the data included in this NEON Level 1 data product, the quality controlled product generated from raw Level 0 data, and associated metadata. In the NEON data products framework, the raw data collected in the field, for example, the dry weights of litter functional groups from a single collection event are considered the lowest level (Level 0). Raw data that have been quality checked via the steps detailed herein, as well as simple metrics that emerge from the raw data are considered Level 1 data products.

The text herein provides a discussion of measurement theory and implementation, data product provenance, quality assurance and control methods used, and approximations and/or assumptions made during L1 data creation.

1.2 Scope

This document describes the steps needed to generate the L1 data product Ticks sampled using drag cloths (DP1.10093.001) - the abundance and density of ticks collected by drag and/or flag sampling, enumerated by species and/or lifestage - and associated metadata from input data. This document also provides details relevant to the publication of the data products via the NEON data portal, with additional detail available in the file, NEON Data Publication Workbook for Tick Sampling (DP1.10093.001) (AD[05]), provided in the download package for this data product.

This document describes the process for ingesting and performing automated quality assurance and control procedures on the data collected in the field pertaining to TOS Protocol and Procedure: Tick and Tick-Borne Pathogen Sampling (AD[07]). The raw data that are processed in this document are detailed in the file, NEON Data Ingest Workbook for Tick Sampling (DPO.10093.001) (AD[04]), provided in the download package for this data product. Please note that raw data products (denoted by 'DPO') may not always have the same numbers (e.g., '10033') as the corresponding L1 data product.



2 RELATED DOCUMENTS AND ACRONYMS

2.1 Associated Documents

AD[01]	NEON.DOC.000001	NEON Observatory Design (NOD) Requirements
AD[02]	NEON.DOC.000913	TOS Science Design for Spatial Sampling
AD[03]	NEON.DOC.002652	NEON Data Products Catalog
AD[04]	Available with data download	Validation csv
AD[05]	Available with data download	Variables csv
AD[06]	NEON.DOC.000911	TOS Science Design for Vectors and Pathogens
AD[07]	NEON.DOC.014045	TOS Protocol and Procedure: Tick and Tick-Borne Pathogen Sampling
AD[08]	NEON.DOC.000913	TOS Science Design for Spatial Sampling
AD[09]	NEON.DOC.000913	TOS Science Design for Plant Diversity
AD[10]	NEON.DOC.000008	NEON Acronym List
AD[11]	NEON.DOC.000243	NEON Glossary of Terms
AD[12]	NEON.DOC.004825	NEON Algorithm Theoretical Basis Document: OS Generic Transitions
AD[13]	Available on NEON data portal	NEON Ingest Conversion Language Function Library
AD[12]	Available on NEON data portal	NEON Ingest Conversion Language
AD[05]	Available with data download	Categorical Codes csv



3 DATA PRODUCT DESCRIPTION

The ticks sampled using drag cloths product provides counts of ticks collected using drag and/or flag processing. The drag method involves pulling a 1m² cloth along the ground at a slow pace (Milne 1943, Falco and Fish 1992). Where thick vegetation prevents continuous drag sampling, flag sampling is used instead. In flag sampling, the 1m² cloth is waved over and underneath vegetation rather than dragged along the ground (Ginsberg and Ewing 1989, Rulison et al. 2013). From 2013-2018 collected ticks were enumerated by life stage by NEON field technicians and sent to the taxonomy laboratory for identification. During these years, the taxonomy laboratory identified and enumerated a majority of the nymphs and adults received, and counted a majority of the larval samples received; however in some cases the counts in the identification table represent a subsample of the total ticks collected in the field. More details on using the abundance metric data collected between 2013-2018 can be found in the Sampling Design Changes and Quality Flagging sections in this document. These sections should be reviewed carefully to assist with interpretation of tick abundance data collected between 2013-2018. Beginning in 2019, NEON field technicians no longer count ticks by life stage. Instead, all samples are sent to the taxonomy laboratory. Identification of nymphs and adults to species is performed on the majority of samples when they are within invoicing limits. Any individuals not identified will be enumerated by life stage and entered with the scientific name *Ixodidae sp.* A portion of identified ticks are retained for long-term archiving. When available in suitable numbers beyond what is required for archiving, nymphs in the genera *Ixodes*, *Amblyomma*, and *Dermacentor* are tested to quantify the prevalence of infection by various pathogens (see Tick-borne pathogen status DP1.10093.001).

Ticks transmit numerous pathogens of wildlife, livestock, and humans, including the etiological agent of Lyme disease (*Borrelia burgdorferi*), the most frequently reported vector-borne disease of humans in the United States. Among arthropod vectors, ticks are particularly sensitive to meteorological conditions and associated physiological constraints, making it highly likely that the demography and biogeography of many tick species, and the pathogens they transmit, will be affected by climate change. Further, the multi-host lifecycles of most tick species increase their ecological connectivity and sensitivity to community-level perturbations that may arise from changes in human land- and resource-use practices.

3.1 Spatial Sampling Design

Tick sampling is conducted at regular intervals by NEON field technicians at terrestrial sites. At each site, six plots are selected for sampling, distributed within the sites proportional to permitted sampling area contained within each National Land Cover Database (NLCD) class. Tick plots are situated such that the edge of each tick plot must be >150m from other NEON plots and infrastructure; tick plot centers are >500m from each other and no streams bisect tick plot perimeters. To reduce the probability that the sampling activities in other protocols impact tick diversity and distribution (e.g., technicians inadvertently attracting or redistributing ticks), tick plot centers are offset from distributed plot centers according to a specified distance (150m +/- 15m) in a randomly chosen direction established prior to establishment of plots in the field. Sampling occurs by drag or flag sampling the 160m perimeter of each of the six 40m x 40m tick plots (Figure 1). See TOS Science Design for Vectors and Pathogens (AD[06]), TOS Protocol and Procedure: Tick and Tick-Borne Pathogen Sampling (AD[07]), TOS Science Design for Spatial Sampling (AD[08]) and TOS Science Design for Plant Diversity (AD[09]) for further details.



An exception to the design above occurs at NEON’s Guanica Forest site (GUAN). At GUAN, the density of vegetation precluded sampling in any of the randomly selected plots using the above design. Instead, field staff located and marked six ‘transect paths’ where sampling is feasible. Each transect path contains between 80m² and 160m² of sampled area. Dragging and/or flagging then occurs over one or more 10m long segments within each plot, with each segment generally within 10m of another segment. Where possible, transect paths are located within 300m from the center of a mammal plot; 300m from the center of a bird plot; and 300m from the center of a Distributed Base Plot, with preference given to co-location with mammal plots where all three co-location criteria cannot be met.

As much as possible, sampling occurs in the same locations over the lifetime of the Observatory. However, over time some sampling locations may become impossible to sample, due to disturbance or other local changes. When this occurs, the location and its location ID are retired. A location may also shift to slightly different coordinates. Refer to the locations endpoint of the NEON API for details about locations that have been moved or retired: <https://data.neonscience.org/data-api/endpoints/locations/>

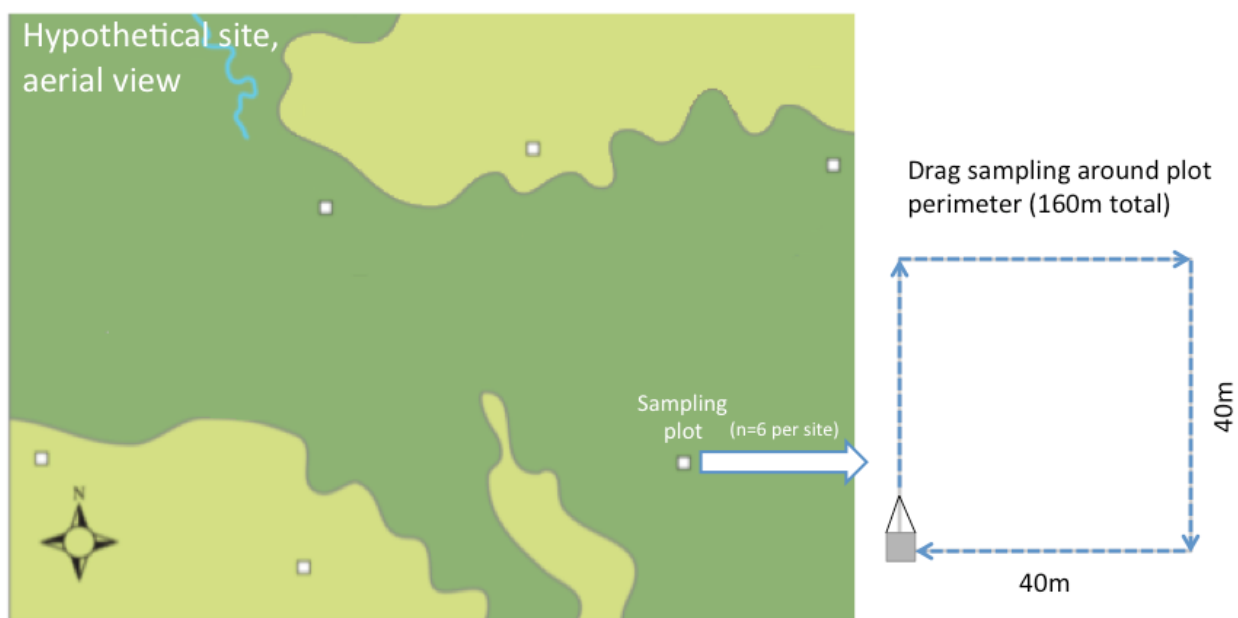


Figure 1: Drag and/or flag sampling occurs around the 160m perimeter of a 40mx40m plot

3.2 Temporal Sampling Design

Ticks are sampled during the growing season which is determined by site-specific average greening period. During the growing season, sampling is conducted every three weeks at sites with high intensity sampling, and every six weeks at sites with low intensity sampling. Between 2013-2018, high intensity sampling occurred at any site where a single tick was detected within the last year (i.e., 365 calendar days). After 2018, high intensity sampling occurs at sites where more than five ticks have been detected in the last year. Sampling is conducted only when the ground is dry. Sampling also occurs only if the high temperature on two consecutive days prior to planned sampling exceeds 0°C. For additional details, see the TOS Protocol and Procedure: Tick and Tick-Borne Pathogen Sampling.



3.3 Sampling Design Changes

There have been several design changes that have been implemented over the course of data collection. Such changes arise due to continual evaluation of the sampling design for best practices in collaboration with technical working groups. They also occur when optimization of the design is necessary to ensure that allocation of sampling effort is poised to maximize returns to the scientific community.

From 2015-2018, the taxonomy laboratory stopped identifying and counting ticks when invoice limits of 600 samples per domain were reached. One sample unit was counted as a single adult or nymph identification, or a full larval count from a plot. The uncounted samples are missing from the identification table. The Quality Flagging section below has more details about interpreting tick counts from the field and taxonomy laboratories.

Between 2016-2018 when more than 500 larval ticks were collected by the field team, a subset of approximately 500 was shipped to the taxonomy laboratory. In all cases where >500 larvae were collected in the field between 2016-2018, the associated identification record has 'ID lab count subsample of total field larvae' in the dataQF field.

Ticks collected during the 2016 field season were stored in RNA buffer solution. All other ticks are stored in 90-95% ethanol.

Beginning in the 2018 field season, the threshold for switching from low intensity (one bout every six weeks) to high intensity sampling (one bout every three weeks) changed from collection of a single tick in the last year to collection of five ticks in the past year.

Starting with the 2019 field season, tick counts are no longer recorded by the field teams. Instead, ticks collected in the field are shipped to the taxonomy laboratory where all ticks are separated by life stage and counted. All nymph and adult ticks are identified up to the invoice limit. Ticks received in excess of invoice limits are entered as counts with the scientific name entered as *Ixodidae sp.* The taxonomy lab distributes identification effort evenly across bouts and life stages, randomly selecting ticks for identification within those criteria.

Beginning in the 2020 field season, the field **samplingImpractical** was added to the data to allow for the generation of a record when a plot cannot be sampled. If field sampling was not possible **samplingImpractical** is populated with a value other than 'OK' (e.g., 'location flooded'). If sampling could not be conducted for all or part of the bout, the **samplingImpractical** field will communicate such missing records and the reason therefore.

3.4 Variables Reported

All variables reported from the field or laboratory technician (L0 data) are listed in the file, NEON Data Ingest Workbook for Tick Sampling (DPO.10093.001) (AD[04]). All variables reported in the published data (L1 data) are also provided separately in the file, NEON Data Publication Workbook for Tick Sampling (DP1.10093.001) (AD[05]).

Field names have been standardized with Darwin Core terms (<http://rs.tdwg.org/dwc/>; accessed 16 February 2014), the Global Biodiversity Information Facility vocabularies (<http://rs.gbif.org/vocabulary/gbif/>; accessed 16 February 2014), the VegCore data dictionary (<https://projects.nceas.ucsb.edu/nc>



Title: NEON User Guide to Ticks Sampled Using Drag Cloths (DP1.10093.001)	Date: 02/15/2023
Author: Sara Paull	Revision: E

[eas/projects/bien/wiki/VegCore](#); accessed 16 February 2014), where applicable. NEON TOS spatial data employs the World Geodetic System 1984 (WGS84) for its fundamental reference datum and GEOID09 for its reference gravitational ellipsoid. Latitudes and longitudes are denoted in decimal notation to six decimal places, with longitudes indicated as negative west of the Greenwich meridian.

Some variables described in this document may be for NEON internal use only and will not appear in downloaded data.

3.5 Spatial Resolution and Extent

The finest resolution at which spatial data are reported is a single plot.

plotID (ID of plot within site) → **siteID** (ID of NEON site) → **domainID** (ID of a NEON domain).

The basic spatial data included in the data downloaded include the latitude, longitude, and elevation of the centroid of the plot where sampling occurred + associated uncertainty due to GPS error and plot width. Shapefiles of all NEON Terrestrial Observation System sampling locations can be found in the Document Library: <http://data.neonscience.org/documents>.

3.6 Temporal Resolution and Extent

The finest resolution at which temporal data are reported is the range between the startDate and end-Date of each tick collection event (the duration during which dragging and/or flagging a single plot occurred).

The NEON Data Portal provides data in monthly files for query and download efficiency. Queries including any part of a month will return data from the entire month. Code to stack files across months is available here: <https://github.com/NEONScience/NEON-utilities>

3.7 Associated Data Streams

subsampleID is a linking variable that ties specific tick identifications and associated metadata to the Tick-borne pathogen status data product (DP1.10092). Visual assessments of the presence of ticks by life stage on captured mammals can be found in the Small mammal box trapping data product (DP1.10072). Beginning in the 2020 field season, a binned total count of ticks found attached to captured small mammals is also available.

3.8 Product Instances

There are a maximum of 11 tick sampling bouts per year, with ticks collected from six plots per bout, yielding up to 66 tick samples per site per year. The total number of ticks per sampling event varies with site-specific conditions.

3.9 Data Relationships

The protocol dictates that each plot is sampled once per sampling bout (six expected records per eventID in tck_fielddata). A record from tck_fielddata may have zero (if no ticks present) or more child records



<i>Title:</i> NEON User Guide to Ticks Sampled Using Drag Cloths (DP1.10093.001)	<i>Date:</i> 02/15/2023
<i>Author:</i> Sara Paull	<i>Revision:</i> E

in `tck_taxonomyProcessed`. An equivalent number of records will occur in `tck_taxonomyRaw`. A record from `tck_taxonomyProcessed` may have zero (if the pertinent subsampleIDs are not sent for pathogen testing) or more records in the `tck_pathogen` table in the Ticks and tick pathogens data product. Duplicates and/or missing data may exist where protocol and/or data entry aberrations have occurred; users should check data carefully for anomalies before joining tables.

`tck_fielddata.csv` (DP1.10093.001) - > One record expected per plotID for each eventID, generates a single sampleID if ticks are present

`tck_taxonomyProcessed.csv` (DP1.10093.001) - > Multiple records expected per sampleID, generates a unique subsampleID for each species*life stage combination found per sampleID. The taxonomic nomenclature in this file has been standardized and desynonymized according to NEON's master taxonomy for ticks.

`tck_taxonomyRaw.csv` (DP1.10093.001 - expanded package) - > Multiple records expected per sampleID, generates a unique subsampleID for each species*life stage combination found per sampleID. The taxonomic nomenclature in this file reflects the verbatim identifications provided by the external taxonomist and may contain synonyms.

`tck_identificationHistory.csv` (DP1.10093.001 - expanded package) - > One or more records expected per identificationHistoryID. Records are only created when data corrections to taxonomic identifications are made. If errors in identification are detected through QAQC processes after data publication, then corrected taxonomy will be provided in the `tck_taxonomyRaw` and `tck_taxonomyProcessed` tables. The `tck_identificationHistory` table is populated with all prior names used for specimen(s) in the data product. When data are populated in the `tck_identificationHistory` table, identificationHistoryID is used as a linking variable between the `tck_identificationHistory` table and all other data tables where updates to identifications were made.

`tck_pathogen.csv` (DP1.10092.001) - > Multiple records expected per subsampleID, generates a unique testingID for each tick selected for pathogen testing. Note not all subsampleIDs contribute to testing; some are simply archived in 95% EtOH.

`tck_pathogenqa.csv` (DP1.10092.001 - expanded) - > One record expected per batchID, contains relevant batch-level quality assurance procedures for each batch tested over all dates testingID for each tick selected for pathogen testing. Note not all subsampleIDs contribute to testing; some are simply archived in 95% EtOH.

Data downloaded from the NEON Data Portal are provided in separate data files for each site and month requested. The `neonUtilities` R package contains functions to merge these files across sites and months into a single file for each table described above. The `neonUtilities` package is available from the Comprehensive R Archive Network (CRAN; <https://cran.r-project.org/web/packages/neonUtilities/index.html>) and can be installed using the `install.packages()` function in R. For instructions on using `neonUtilities` to merge NEON data files, see the Download and Explore NEON Data tutorial on the NEON website: <https://www.neonscience.org/download-explore-neon-data>



4 TAXONOMY

NEON manages taxonomic entries by maintaining a master taxonomy list based on the community standard, if one exists. Through the master taxonomy list, synonyms submitted in the data are converted to the appropriate name in use by the standard. The master taxonomy for ticks is the Catalogue of Life (<http://www.catalogueoflife.org>). Taxon ID codes used to identify taxonomic concepts in the NEON master taxonomy list are 6-7 character alpha-numeric codes derived from the accepted scientific name. Each code is composed of the first three letters of the genus followed by the first three letters of the species. A number is added to the end when necessary to distinguish between duplicate codes. The list includes North American species supplemented with species occurring in Puerto Rico. NEON plans to keep the taxonomy updated in accordance with the Catalogue of Life and peer-reviewed publications starting in 2020 and annually thereafter.

The master taxonomy list also indicates the expected geographic distribution for each species by NEON domain and whether it is known to be introduced or native in that part of the range. Errors are generated if a species is reported at a location outside of its known range. If the record proves to be a reliable report, the master taxonomy table is updated to reflect the distribution change. Geographic ranges and native statuses used in this data product are from The Catalogue of Life as well as other resources including the Centers for Disease Control and Prevention Geographic distribution of ticks that bite humans (http://www.cdc.gov/ticks/geographic_distribution.html), Fauna of Ixodid Ticks of the World (<http://www.kolonin.org>), The Global Biodiversity Information Facility on-line database (<http://www.gbif.org/>), and Encyclopedia of Life (<http://www.discoverlife.org>).

The full master taxonomy lists are available on the NEON Data Portal for browsing and download: <http://data.neonscience.org/static/taxon.html>.

Beginning in 2023, the **identificationHistory** table was added to track any changes to taxonomic identifications that have been published in NEON data. Such taxonomic revisions may be necessary when errors are found in QAQC checks, or when evidence from genetic analysis of samples or re-analysis of archived samples indicate a revision is necessary. Requests for taxonomic changes are reviewed by NEON science staff. Proposed changes are evaluated based on evidence in the form of photographs, existing samples, genetic data, consultation with taxonomic experts, or range maps. Upon approval, the existing record in `tck_taxonomyRaw` and `tck_taxonomyProcessed` is updated with the new taxonomic information and a unique identifier is added to the **identificationHistoryID** field. A record with the same identification-HistoryID is created in the `tck_identificationHistory` table where the previous taxonomic information is archived along with the date the change was made.

5 DATA QUALITY

5.1 Data Entry Constraint and Validation

Many quality control measures are implemented at the point of data entry within a mobile data entry application or web user interface (UI). For example, data formats are constrained and data values controlled through the provision of dropdown options, which reduces the number of processing steps necessary to prepare the raw data for publication. An additional set of constraints are implemented during the process of ingest into the NEON database. The product-specific data constraint and validation requirements built

into data entry applications and database ingest are described in the document NEON Data Ingest Workbook for Tick Sampling (DP0.10093.001), provided with every download of this data product. Contained within this file is a field named ‘entryValidationRulesForm’, which describes syntactically the validation rules for each field built into the data entry application. Data entry constraints are described in Nicl syntax in the validation file provided with every data download, and the Nicl language is described in NEON’s Ingest Conversion Language (NICL) specifications ([AD[13]).

Data collected prior to 2017 were processed using a paper-based workflow that did not implement the full suite of quality control features associated with the interactive digital workflow.

5.2 Automated Data Processing Steps

Following data entry into a mobile application or web user interface, the steps used to process the data through to publication on the NEON Data Portal are detailed in the NEON Algorithm Theoretical Basis Document: OS Generic Transitions (AD[12]).

5.3 Data Revision

All data are provisional until a numbered version is released. Annually, NEON releases a static version of all or almost all data products, annotated with digital object identifiers (DOIs). The first data Release was made in 2021. During the provisional period, QA/QC is an active process, as opposed to a discrete activity performed once, and records are updated on a rolling basis as a result of scheduled tests or feedback from data users. The Issue Log section of the data product landing page contains a history of major known errors and revisions.

5.4 Quality Flagging

The **dataQF** field in each data record is a quality flag for known errors applying to the record. Please see below for an explanation of **dataQF** codes specific to this product.



Table 1: Descriptions of the dataQF codes for quality flagging

fieldName	value	definition
dataQF	legacyData	Data recorded using a paper-based workflow that did not implement the full suite of quality control features associated with the interactive digital workflow. Note that this applies to all data collected prior to 2017; however only a subset of those data are marked with this dataQF.
dataQF	ID lab count sub-sample of total field larvae	More than 500 larvae were collected by the field team, but only a subset of approximately 500 were shipped to the taxonomy laboratory. The resultant larval counts in the identification table reflect this subsetted number and the field collection larvae counts represent a more accurate number. This occurred in the 2016-2018 field seasons.
dataQF	field/ID lab larva/nymph/adult count higher than field/ID lab (PDE >25%)	The percent difference in enumeration, or PDE, between counts reported by the field and taxonomy laboratory is more than 25%. PDE is calculated as $\frac{ fieldcount-labcount }{fieldcount+labcount} * 100$. There will be a separate dataQF indicating which count is higher for each instance of a life stage where field and lab counts differ by more than 25%

The quality flags for discrepancies of more than 25% between field and taxonomy laboratory counts within a given life stage are intended to help with the determination of whether the field or the laboratory count is the more reliable datapoint. Counts from the identification lab for a given life stage are sometimes higher than field counts, and this could occur if the field team incorrectly identified the life stage of the ticks. This would be more likely if there is another quality flag for the same sample indicating that the field count was high for a different life stage. Counts from the identification lab for a given life stage are sometimes lower than field counts, which could indicate that the identification lab was subsampling and did not count all of the ticks received due to invoice limitations. These excess, uncounted ticks were not recorded in the data. The counts from the identification laboratory, therefore would be more likely to be low for this reason if the counts are from a domain and year where subsampling occurred (e.g., more than 600 samples from a given domain were generated in a single year). A remark stating: 'site-year possibly subsampled in ID lab counts' has been added to to the collection and identification tables for those samples with count-related quality flags.

Records of land management activities, disturbances, and other incidents of ecological note that may have a potential impact are found in the Site Management and Event Reporting data product (DP1.10111.001)



<i>Title:</i> NEON User Guide to Ticks Sampled Using Drag Cloths (DP1.10093.001)	<i>Date:</i> 02/15/2023
<i>Author:</i> Sara Paull	<i>Revision:</i> E

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